

09-20-01

PTO/SB/05 (2/g8)

Please type a plus sign (+) inside this box ☐

Approved for use through 09/30/00. OMB 0651-0032

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket NO.

98-417A

First Inventor or Application Identifier

LAZARO, Luis J. Jr.

Title

AIRCRAFT GROUND POWER CONNECTOR

Express Mail Label No.

EL 828273425 US

Only for new nonprovisional applications under 37 C.F.R. (1.53(b)) Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. ☒ Specification Total Pages 13
(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed Sponsored R & D
- Reference to Microfiche Appendix

- Background of the invention
- Brief Summary of the invention
- Brief Description of the Drawings (if filed)
- Detailed Description

3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 2]

4. Oath or Declaration [Total Sheets.]

- a. ☐ Newly executed (original or copy)

- b. ☐ I Copy from a prior application (37 C.F.R. § 1.63(d))
for continuation/divisional with Box 17 completed
(Note Box 5 below)

☐ DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5. Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)

7. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- a. ☐ Computer Readable Copy

- b. ☐ Paper Copy (Identical to computer copy)

- c. ☐ Statement Verify identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet &

9. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney

10. ☐ English Translation Document (if applicable)

11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations

12. ☐ Preliminary Amendment

13. ☐ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
Small Entity

14. ☐ Statement(s) ☐ Statement filed in prior application
(PTO/SB/09-12) Status still proper and desired

15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. ☐ Other:

* NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28)

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment

- ☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No: PCT/US00/07181

Prior application information: Examiner _____ Group/Art Unit _____

18. CORRESPONDENCE ADDRESS

- ☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach barcode label here):

- or ☒ Correspondence address below

Name	Ann K. Galbraith				
Address	7755 E. Marginal Way South, P.O. Box 3707, MC 13-08				
City	Seattle	State	WA	Zip Code	98124-2207
Country	U.S.	Telephone	206-544-1027	Fax	206-655-5076

Name (Print/Type)

Ann K. Galbraith

Registration No (Attorney/Agent)

33,530

Signature



Date

9/18/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

765978

Please type a plus sign (+) inside this box ☐

PTO/SB/16 (12-97)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL				<i>Complete if Known</i>																																																																																																																															
Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 2000. Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.				Application Number _____ Filing Date _____ First Named Inventor LAZARO, Luis J. Jr. Examiner Name _____ Group / Art Unit _____ Attorney Docket No 98-417A																																																																																																																															
TOTAL AMOUNT OF PAYMENT		(\$) 710.00																																																																																																																																	
METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)																																																																																																																															
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to: Deposit Account Number 02-2960 <input checked="" type="checkbox"/> Charge Any additional Fee Required Under 37 C.F.R. §§ 1.1 and 1.17 <input type="checkbox"/> Charge the Issue Fee Set in 37 C.F.R. §§ 1.18 at the Mailing of the Notice of Allowance				3. ADDITIONAL FEES <table style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Large Entity Fee</th> <th style="text-align: left;">Small Entity Fee</th> <th style="text-align: left;">Fee Description</th> <th style="text-align: right;">Fee Paid</th> </tr> </thead> <tbody> <tr> <td>105 130</td> <td>205 65</td> <td>Surcharge - late filing fee or oath</td> <td style="text-align: right;">\$</td> </tr> <tr> <td>127 50</td> <td>227 25</td> <td>Surcharge - late provisional filing fee Or cover sheet</td> <td style="text-align: right;">\$</td> </tr> <tr> <td>139 130</td> <td>139 130</td> <td>Non-English specification</td> <td style="text-align: right;">\$</td> </tr> <tr> <td>147 2,520</td> <td>147 2,520</td> <td>For filing a request for reexamination</td> <td style="text-align: right;">\$</td> </tr> <tr> <td>112 920*</td> <td>112 920*</td> <td>Requesting publication of SIR Prior to Examiner action</td> <td style="text-align: right;">\$</td> </tr> <tr> <td>115 110</td> <td>215 55</td> <td>Extension for reply within first month</td> <td style="text-align: right;">\$</td> </tr> <tr> <td>116 390</td> <td>216 195</td> <td>Extension for reply within second month</td> <td style="text-align: right;">\$</td> </tr> <tr> <td>117 890</td> <td>217 445</td> <td>Extension for reply within third month</td> <td style="text-align: right;">\$</td> </tr> <tr> <td>118 1,390</td> <td>218 695</td> <td>Extension for reply within fourth month</td> <td style="text-align: right;">\$</td> </tr> <tr> <td>128 1,890</td> <td>228 945</td> <td>Extension for reply within fifth month</td> <td style="text-align: right;">\$</td> </tr> <tr> <td>119 310</td> <td>219 155</td> <td>Notice of Appeal</td> <td style="text-align: right;">\$</td> </tr> <tr> <td>120 310</td> <td>220 155</td> <td>Filing a brief in support of an appeal</td> <td style="text-align: right;">\$</td> </tr> <tr> <td>121 270</td> <td>221 135</td> <td>Request for oral hearing</td> <td style="text-align: right;">\$</td> </tr> <tr> <td>179 710</td> <td>279 355</td> <td>Request for continued examination (RCE)</td> <td style="text-align: right;">\$</td> </tr> <tr> <td>138 1,510</td> <td>138 1,510</td> <td>Petition to institute a public use proceeding.</td> <td style="text-align: right;">\$</td> </tr> <tr> <td>140 110</td> <td>240 55</td> <td>Petition to revive - unavoidable</td> <td style="text-align: right;">\$</td> </tr> <tr> <td>141 1,240</td> <td>241 620</td> <td>Petition to revive - unintentional</td> <td style="text-align: right;">\$</td> </tr> <tr> <td>142 1,240</td> <td>242 620</td> <td>Utility issue fee (or reissue)</td> <td style="text-align: right;">\$</td> </tr> <tr> <td>143 440</td> <td>243 220</td> <td>Design issue fee</td> <td style="text-align: right;">\$</td> </tr> <tr> <td>144 600</td> <td>244 300</td> <td>Plant issue fee</td> <td style="text-align: right;">\$</td> </tr> <tr> <td>122 130</td> <td>122 130</td> <td>Petitions to the Commissioner</td> <td style="text-align: right;">\$</td> </tr> <tr> <td>123 50</td> <td>123 50</td> <td>Petitions related to provisional applications</td> <td style="text-align: right;">\$</td> </tr> <tr> <td>126 240</td> <td>126 240</td> <td>Submission of Information Disclosure Stmt</td> <td style="text-align: right;">\$</td> </tr> <tr> <td>581 40</td> <td>581 40</td> <td>Recording each patent assignment per Property (times number of properties)</td> <td style="text-align: right;">\$</td> </tr> <tr> <td>146 710</td> <td>246 355</td> <td>Filing a submission after final rejection (37 CFR 1 129(a))</td> <td style="text-align: right;">\$</td> </tr> <tr> <td>149 710</td> <td>249 355</td> <td>For each additional invention to be examined (37 CFR 1 129(a))</td> <td style="text-align: right;">\$</td> </tr> <tr> <td colspan="3">Other fee (specify) _____</td> <td style="text-align: right;">\$</td> </tr> <tr> <td colspan="3">Other fee (specify) _____</td> <td style="text-align: right;">\$</td> </tr> <tr> <td colspan="3" style="text-align: right;">*Reduced by Basic Filing Fee</td> <td></td> </tr> <tr> <td colspan="3" style="text-align: right;">SUBTOTAL (3)</td> <td style="text-align: right;">\$0</td> </tr> </tbody> </table>				Large Entity Fee	Small Entity Fee	Fee Description	Fee Paid	105 130	205 65	Surcharge - late filing fee or oath	\$	127 50	227 25	Surcharge - late provisional filing fee Or cover sheet	\$	139 130	139 130	Non-English specification	\$	147 2,520	147 2,520	For filing a request for reexamination	\$	112 920*	112 920*	Requesting publication of SIR Prior to Examiner action	\$	115 110	215 55	Extension for reply within first month	\$	116 390	216 195	Extension for reply within second month	\$	117 890	217 445	Extension for reply within third month	\$	118 1,390	218 695	Extension for reply within fourth month	\$	128 1,890	228 945	Extension for reply within fifth month	\$	119 310	219 155	Notice of Appeal	\$	120 310	220 155	Filing a brief in support of an appeal	\$	121 270	221 135	Request for oral hearing	\$	179 710	279 355	Request for continued examination (RCE)	\$	138 1,510	138 1,510	Petition to institute a public use proceeding.	\$	140 110	240 55	Petition to revive - unavoidable	\$	141 1,240	241 620	Petition to revive - unintentional	\$	142 1,240	242 620	Utility issue fee (or reissue)	\$	143 440	243 220	Design issue fee	\$	144 600	244 300	Plant issue fee	\$	122 130	122 130	Petitions to the Commissioner	\$	123 50	123 50	Petitions related to provisional applications	\$	126 240	126 240	Submission of Information Disclosure Stmt	\$	581 40	581 40	Recording each patent assignment per Property (times number of properties)	\$	146 710	246 355	Filing a submission after final rejection (37 CFR 1 129(a))	\$	149 710	249 355	For each additional invention to be examined (37 CFR 1 129(a))	\$	Other fee (specify) _____			\$	Other fee (specify) _____			\$	*Reduced by Basic Filing Fee				SUBTOTAL (3)			\$0
Large Entity Fee	Small Entity Fee	Fee Description	Fee Paid																																																																																																																																
105 130	205 65	Surcharge - late filing fee or oath	\$																																																																																																																																
127 50	227 25	Surcharge - late provisional filing fee Or cover sheet	\$																																																																																																																																
139 130	139 130	Non-English specification	\$																																																																																																																																
147 2,520	147 2,520	For filing a request for reexamination	\$																																																																																																																																
112 920*	112 920*	Requesting publication of SIR Prior to Examiner action	\$																																																																																																																																
115 110	215 55	Extension for reply within first month	\$																																																																																																																																
116 390	216 195	Extension for reply within second month	\$																																																																																																																																
117 890	217 445	Extension for reply within third month	\$																																																																																																																																
118 1,390	218 695	Extension for reply within fourth month	\$																																																																																																																																
128 1,890	228 945	Extension for reply within fifth month	\$																																																																																																																																
119 310	219 155	Notice of Appeal	\$																																																																																																																																
120 310	220 155	Filing a brief in support of an appeal	\$																																																																																																																																
121 270	221 135	Request for oral hearing	\$																																																																																																																																
179 710	279 355	Request for continued examination (RCE)	\$																																																																																																																																
138 1,510	138 1,510	Petition to institute a public use proceeding.	\$																																																																																																																																
140 110	240 55	Petition to revive - unavoidable	\$																																																																																																																																
141 1,240	241 620	Petition to revive - unintentional	\$																																																																																																																																
142 1,240	242 620	Utility issue fee (or reissue)	\$																																																																																																																																
143 440	243 220	Design issue fee	\$																																																																																																																																
144 600	244 300	Plant issue fee	\$																																																																																																																																
122 130	122 130	Petitions to the Commissioner	\$																																																																																																																																
123 50	123 50	Petitions related to provisional applications	\$																																																																																																																																
126 240	126 240	Submission of Information Disclosure Stmt	\$																																																																																																																																
581 40	581 40	Recording each patent assignment per Property (times number of properties)	\$																																																																																																																																
146 710	246 355	Filing a submission after final rejection (37 CFR 1 129(a))	\$																																																																																																																																
149 710	249 355	For each additional invention to be examined (37 CFR 1 129(a))	\$																																																																																																																																
Other fee (specify) _____			\$																																																																																																																																
Other fee (specify) _____			\$																																																																																																																																
*Reduced by Basic Filing Fee																																																																																																																																			
SUBTOTAL (3)			\$0																																																																																																																																
2. <input type="checkbox"/> Payment enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Money order <input type="checkbox"/> Other																																																																																																																																			
Fee Calculation 1. BASIC FILING FEE <table style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Large Entity Fee</th> <th style="text-align: left;">Small Entity Fee</th> <th style="text-align: left;">Fee Description</th> <th style="text-align: right;">Fee Paid</th> </tr> </thead> <tbody> <tr> <td>101 710</td> <td>201 355</td> <td>Utility filing fee</td> <td style="text-align: right;">\$710.00</td> </tr> <tr> <td>106 320</td> <td>206 160</td> <td>Design filing fee</td> <td style="text-align: right;">\$</td> </tr> <tr> <td>107 490</td> <td>207 245</td> <td>Plant filing fee</td> <td style="text-align: right;">\$</td> </tr> <tr> <td>108 710</td> <td>208 355</td> <td>Reissue filing fee</td> <td style="text-align: right;">\$</td> </tr> <tr> <td>114 150</td> <td>214 75</td> <td>Provisional filing fee</td> <td style="text-align: right;">\$</td> </tr> <tr> <td colspan="3" style="text-align: right;">SUBTOTAL (1)</td> <td style="text-align: right;">\$710.00</td> </tr> </tbody> </table>				Large Entity Fee	Small Entity Fee	Fee Description	Fee Paid	101 710	201 355	Utility filing fee	\$710.00	106 320	206 160	Design filing fee	\$	107 490	207 245	Plant filing fee	\$	108 710	208 355	Reissue filing fee	\$	114 150	214 75	Provisional filing fee	\$	SUBTOTAL (1)			\$710.00																																																																																																				
Large Entity Fee	Small Entity Fee	Fee Description	Fee Paid																																																																																																																																
101 710	201 355	Utility filing fee	\$710.00																																																																																																																																
106 320	206 160	Design filing fee	\$																																																																																																																																
107 490	207 245	Plant filing fee	\$																																																																																																																																
108 710	208 355	Reissue filing fee	\$																																																																																																																																
114 150	214 75	Provisional filing fee	\$																																																																																																																																
SUBTOTAL (1)			\$710.00																																																																																																																																
2. EXTRA CLAIM FEES <table style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Total Claims</th> <th style="text-align: left;">Extra Claims</th> <th style="text-align: left;">Fee From Below</th> <th style="text-align: right;">Fee Paid</th> </tr> </thead> <tbody> <tr> <td>4 -</td> <td>20** = 0</td> <td>X \$18.00</td> <td style="text-align: right;">\$0</td> </tr> <tr> <td>Independent 1 -</td> <td>3** = 3</td> <td>X \$80.00</td> <td style="text-align: right;">\$0</td> </tr> <tr> <td colspan="3">Claims</td> <td></td> </tr> <tr> <td colspan="3">Multiple Dependent</td> <td style="text-align: right;">\$270.00 \$0</td> </tr> </tbody> </table> <p>** or number previously paid, if greater; For Reissues, see below</p> <table style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Large Entity Fee</th> <th style="text-align: left;">Small Entity Fee</th> <th style="text-align: left;">Fee Description</th> </tr> </thead> <tbody> <tr> <td>103 22</td> <td>203 11</td> <td>Claims in excess of 20</td> </tr> <tr> <td>102 82</td> <td>202 411</td> <td>Independent claims in excess of 3</td> </tr> <tr> <td>104 270</td> <td>204 135</td> <td>Multiple dependent claim, if not paid</td> </tr> <tr> <td>109 82</td> <td>209 41</td> <td>** Reissue independent claims over original patent</td> </tr> <tr> <td>110 22</td> <td>210 11</td> <td>** Reissue claims in excess of 20 and Over original patent</td> </tr> <tr> <td colspan="3" style="text-align: right;">SUBTOTAL (2)</td> </tr> </tbody> </table>				Total Claims	Extra Claims	Fee From Below	Fee Paid	4 -	20** = 0	X \$18.00	\$0	Independent 1 -	3** = 3	X \$80.00	\$0	Claims				Multiple Dependent			\$270.00 \$0	Large Entity Fee	Small Entity Fee	Fee Description	103 22	203 11	Claims in excess of 20	102 82	202 411	Independent claims in excess of 3	104 270	204 135	Multiple dependent claim, if not paid	109 82	209 41	** Reissue independent claims over original patent	110 22	210 11	** Reissue claims in excess of 20 and Over original patent	SUBTOTAL (2)																																																																																									
Total Claims	Extra Claims	Fee From Below	Fee Paid																																																																																																																																
4 -	20** = 0	X \$18.00	\$0																																																																																																																																
Independent 1 -	3** = 3	X \$80.00	\$0																																																																																																																																
Claims																																																																																																																																			
Multiple Dependent			\$270.00 \$0																																																																																																																																
Large Entity Fee	Small Entity Fee	Fee Description																																																																																																																																	
103 22	203 11	Claims in excess of 20																																																																																																																																	
102 82	202 411	Independent claims in excess of 3																																																																																																																																	
104 270	204 135	Multiple dependent claim, if not paid																																																																																																																																	
109 82	209 41	** Reissue independent claims over original patent																																																																																																																																	
110 22	210 11	** Reissue claims in excess of 20 and Over original patent																																																																																																																																	
SUBTOTAL (2)																																																																																																																																			
SUBMITTED BY				Complete (if applicable)																																																																																																																															
Typed or Printed Name Ann K. Galbraith				Reg. Number 33,530																																																																																																																															
Signature				Deposit Account User ID 02-2960																																																																																																																															
Date Sep. 18, 2001																																																																																																																																			

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231